



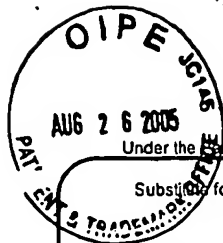
IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Chang-Ping Lee et al.
APPLICATION NO.: 10/074,996
FILED: February 12, 2002
TITLE: Method and Apparatus for Securing Electronic Data
EXAMINER: Unknown
GROUP ART UNIT: 2131
ATTY.DKT.NO.: PA2905US

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List of Co-Pending Patent Applications That May Be Directed
Towards Similar Subject Matter

Examiner's Initials	Serial Number	First-Named Inventor	Title	Filing Date
<i>u</i>	10/076,254	Alain Rossmann	Method and Architecture for Providing Pervasive Security to Digital Assets	February 12, 2002
Examiner's Signature:	<i>Orl</i>			Date <i>9/20/05</i>



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	10/074,996
Filing Date	February 12, 2002
First Named Inventor	Chang-Ping Lee
Art Unit	2131
Examiner Name	Unknown
Attorney Docket Number	PA2905US

Sheet 1 of 6

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
M		US- 4,757,533	07-12-1988	Allen et al.	
		US- 5,052,040	09-24-1991	Preston et al.	
		US- 5,058,164	10-15-1991	Elmer et al.	
		US- 5,220,657	06-15-1993	Bly et al.	
		US- 5,247,575	09-21-1993	Sprague et al.	
		US- 5,369,702	11-29-1994	Shanton	
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M		US- 5,751,287	05-12-1998	Hahn et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
M		EP 0 674 253 A1	09-27-1995	Kabushiki Kaisha Toshiba		
		EP 0 809 170 A1	11-26-1997	NCR International Inc.		
		EP 0 950 941 A2	10-20-1999	Fujitsu Limited		
		EP 1 130 492 A2	09-05-2001	Sony Corporation		
M		EP 1 154 348 A2	11-14-2001	Matsushita Electronics Corp.		
		GB 2 328 047 A	02-10-1999	Microsoft Corporation		

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STATEMENT BY APPLICANT**

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Sheet 2

of

6

Complete if Known

Application Number	10/074,996
Filing Date	February 12, 2002
First Named Inventor	Chang-Ping Lee
Art Unit	2131
Examiner Name	Unknown
Attorney Docket Number	PA2905US

U. S. PATENT DOCUMENTS					
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		Number-Kind Code ² (if known)			
W		US- 5,787,169	07-28-1998	Eldridge et al.	
		US- 5,787,173	07-28-1998	Seheidt et al.	
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		US- 5,898,781	04-27-1999	Shanton	
		US- 6,044,155	03-28-2000	Thomlinson et al.	
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		US- 6,351,813 B1	02-26-2002	Mooney et al.	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
M		JP 2001-036517	02-09-2001	Lucent Technol, Inc.		
↓		WO 01/84271 A2	11-08-2001	Certia, Inc.		
		WO 01/61438 A2	08-23-2001	Permabit, Inc.		
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		WO 01/78285 A1	10-18-2001	Mediadna, Inc.		
M		EP 0 913 966 A2	05-06-1999	Sun Microsystems, Inc.		

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Application Number	10/074,996
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First Named Inventor	Chang-Ping Lee
Art Unit	2131
Examiner Name	Unknown
Attorney Docket Number	PA2905US

Sheet **3** of **6****U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
cm		US- 6,505,300 B2	01-07-2003	Chan et al.	
		US- 6,542,608 B2	04-01-2003	Scheidt et al.	
		US- 6,549,623 B1	04-15-2003	Scheidt et al.	
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KX		US- 2002/0198798 A1	12-26-2002	Ludwig et al.	
		US- 2003/0014391 A1	01-16-2003	Evans et al.	
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
cm		WO 00/56028	09-21-2000	Texar Software Corp.		

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Attorney Docket Number	PA2905US

Sheet 4 of 6

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
CU		US- 2003/0115146 A1	06-19-2003	Lee et al.	
		US- 2003/0182579 A1	09-25-2003	Leporini et al.	
		US- 2005/0021467 A1	01-27-2005	Franzdonk	
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		US- 5,953,419	09-14-1999	Lohstroh et al.	
		US- 6,442,695 B1	08-27-2002	Dutcher et al.	
CU		US- 2002/0042756 A1	04-11-2002	Kumar et al.	
		US- 2002/0069272 A1	06-06-2002	Kim et al.	
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Sheet 5 of 6

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W		US- 5,715,403	02-03-1998	Stefik	
		US- 6,594,662 B1	07-15-2003	Sieffert et al.	
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		Filing Date	February 12, 2002		
		First Named Inventor	Chang-Ping Lee		
		Art Unit	2131		
		Examiner Name	Unknown		
Sheet	6	of	6	Attorney Docket Number	PA2905US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
W		"Expiration Mechanism for Chipcards," IBM Technical Disclosure Bulletin, October 1, 2001, UK.	
CU		McDANIEL et al., "Antigone: A Flexible Framework for Secure Group Communication," Proceedings of the 8th USENIX Security Symposium, August 23, 1999.	

Examiner Signature		Date Considered	9/28/05
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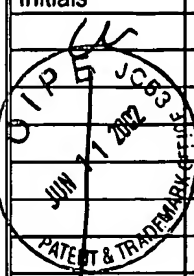
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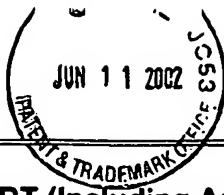
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Form PTO-1449 (Modified)	Atty Docket No.: SS-008	Application No.: 10/074,996
List of Patents and Publications Statement (Use several sheets if necessary)		Applicant: Chang-Ping Lee Filing Date: 02/12/2002

REFERENCE DESIGNATION	U.S. PATENT DOCUMENTS						
Examiner Initials		Document No.	Date	Patentee	Class	Sub-Class	Filing date if appropriate
	A.	4,799,258	Jan. 17, 1989	Davies	380/21		02/07/1985
	B.	5,276,735	Jan. 4, 1994	Boebert et al.	380/21		04/17/1992
	C.	5,499,297	Mar. 12, 1996	Boebert	380/23		12/20/1994
	D.	5,502,766	Mar. 26, 1996	Boebert et al.	380/25		10/26/1993
	E.	5,600,722	Feb. 4, 1997	Yamaguchi et al.	380/21		10/06/1993
	F.	5,745,573	Apr. 28, 1998	Lipner et al.	380/21		01/10/1997
	G.	5,862,325	Jan. 19, 1999	Reed et al.	395/200.31		09/27/1996
	H.	5,933,498	Aug. 3, 1999	Schneck et al.	380/4		11/05/1997
	I.	5,987,440	Nov. 16, 1999	O'Neil et al.	705/44		07/22/1997
	J.	6,088,717	Jul. 11, 2000	Reed et al.	709/201		08/31/1998
	K.	6,088,805	Jul. 11, 2000	Davis et al.	713/202		02/13/1998
	L.	6,098,056	Aug. 1, 2000	Rusnak et al.	705/75		11/24/1997
	M.	6,158,010	Dec. 5, 2000	Moriconi et al.	713/201		02/12/1999
	N.	6,161,139	Dec. 12, 2000	Win et al.	709/229		02/12/1999
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

Examiner Initials		Publication Details
u	U	"Inside Encrypting File System", Part 1, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
	V0.	"Inside Encrypting File System", Part 2, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
	V1.	"Security with Encrypting File System", from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to the applicant.